

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Virginia on the following

☒ Trademarks or ☐ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 1:18-cv-1063	DATE FILED 8/24/2018	U.S. DISTRICT COURT Eastern District of Virginia
PLAINTIFF Juul Labs, Inc.		DEFENDANT The Unincorporated Associations Identified in Schedule A
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 See Attached	See Attached	Juul Labs, Inc.
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK Fernando Galindo	(BY) DEPUTY CLERK	DATE
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

TSDR now includes a Post Registration Maintenance Tab that appears as a third tab next to the "Status" and "Document" tabs for registered marks. The tab will not appear if the mark is not registered.

The information provided on the Post Registration Maintenance Tab, including due dates for maintenance documents and status of renewal and declaration of use filings, is provided as a courtesy and may not be current due to system issues.

Trademark owners should not rely on the Post Registration Maintenance Tab to determine their maintenance obligations and deadlines.

STATUS

DOCUMENTS

MAINTENANCE

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Mark: JUUL

JUUL

US Serial Number: 86156947

Application Filing Date: Jan. 03, 2014

US Registration Number: 4818664

Registration Date: Sep. 22, 2015

Register: Principal

Mark Type: Trademark

TM5 Common Status

Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Sep. 22, 2015

Publication Date: Dec. 02, 2014

Notice of Allowance Date: Jan. 27, 2015

▼ Mark Information

[▲ Collapse All](#)

Mark Literal Elements: JUUL

Standard Character Claim: Yes. The mark consists of standard characters without claim to any particular font style, size, or color.

Mark Drawing Type: 4 - STANDARD CHARACTER MARK

▼ Related Properties Information

International Registration 1262817

Number:

International Application(s) A0051392/1262817

/Registration(s) Based on this

Property:

▼ Foreign Information

Priority Claimed: Yes

Foreign Application Number: 47067

Foreign Application Filing Date: Jul. 09, 2013

Date:

Foreign Application/Registration Country: TRINIDAD AND TOBAGO

▼ Goods and Services

Note:

The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [...] indicate deleted goods/services;
- Double parenthesis (..) identify any goods/services not claimed in a Section 15 affidavit of incontestability, and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Electronic cigarettes; electronic smoking vaporizers, namely, electronic cigarettes; tobacco substitutes in liquid solution form other than for medical purposes for electronic cigarettes

International Class(es): 034 - Primary Class

U.S Class(es): 002, 008, 009, 017

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2015

Use in Commerce: Jun. 01, 2015

For: Electronic cigarette refill liquids, namely, chemical flavorings in liquid form used to refill electronic cigarettes; cartridges sold filled with chemical flavorings in liquid form for electronic cigarettes

International Class(es): 030 - Primary Class

U.S Class(es): 046

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2015

Use in Commerce: Jun. 01, 2015

For: Nicotine-based liquid, namely, liquid nicotine used to refill electronic cigarettes; cartridges sold filled with liquid nicotine for electronic cigarettes

International Class(es): 001 - Primary Class

U.S Class(es): 001, 005, 006, 010, 026, 046

Class Status: ACTIVE

Basis: 1(a)

First Use: Jun. 01, 2015

Use in Commerce: Jun. 01, 2015

▼ Basis Information (Case Level)

Filed Use: No	Currently Use: Yes	Amended Use: No
Filed ITU: Yes	Currently ITU: No	Amended ITU: No
Filed 44D: Yes	Currently 44D: No	Amended 44D: No
Filed 44E: No	Currently 44E: No	Amended 44E: No
Filed 66A: No	Currently 66A: No	
Filed No Basis: No	Currently No Basis: No	

▼ Current Owner(s) Information

Owner Name: JUUL LABS, INC.

Owner Address: 560 20th Street, Building 104
San Francisco, CALIFORNIA UNITED STATES 94107

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

▼ Attorney/Correspondence Information

Attorney of Record

Docket Number: 35196-TM1014

Correspondent

Correspondent Name/Address: Alyssa Worsham
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL RD
PALO ALTO, CALIFORNIA UNITED STATES 94304-1050

Phone: 650-493-9300

Fax: 650-493-6811

Correspondent e-mail: trademarks@wsgr.com

Correspondent e-mail Yes
Authorized:

Domestic Representative - Not Found

▼ Prosecution History

Date	Description	Proceeding Number
Aug. 11, 2018	NEW CERTIFICATE ISSUED, SEC. 7D	71378
Aug. 10, 2018	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	71378
Jul. 20, 2018	TEAS SECTION 7 REQUEST RECEIVED	
Mar. 28, 2018	APPLICANT/CORRESPONDENCE CHANGES (NON-RESPONSIVE) ENTERED	88888
Mar. 28, 2018	TEAS CHANGE OF OWNER ADDRESS RECEIVED	
Aug. 16, 2017	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Dec. 09, 2015	CERTIFICATE OF CORRECTION ISSUED	67603
Nov. 24, 2015	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	67603
Nov. 05, 2015	TEAS SECTION 7 REQUEST RECEIVED	
Sep. 22, 2015	REGISTERED-PRINCIPAL REGISTER	

Aug. 18, 2015	NOTICE OF ACCEPTANCE OF STATEMENT OF USE E-MAILED	
Aug. 17, 2015	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Aug. 13, 2015	NOTICE OF APPROVAL OF EXTENSION REQUEST E-MAILED	
Aug. 12, 2015	STATEMENT OF USE PROCESSING COMPLETE	65362
Aug. 12, 2015	EXTENSION 1 GRANTED	65362
Jul. 22, 2015	EXTENSION 1 FILED	65362
Jul. 22, 2015	USE AMENDMENT FILED	65362
Aug. 10, 2015	CASE ASSIGNED TO INTENT TO USE PARALEGAL	65362
Aug. 07, 2015	CASE ASSIGNED TO INTENT TO USE PARALEGAL	70458
Jul. 22, 2015	TEAS EXTENSION RECEIVED	
Jul. 22, 2015	TEAS STATEMENT OF USE RECEIVED	
Feb. 25, 2015	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Jan. 27, 2015	NOA E-MAILED - SOU REQUIRED FROM APPLICANT	
Dec. 02, 2014	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Dec. 02, 2014	PUBLISHED FOR OPPOSITION	
Nov. 12, 2014	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Oct. 28, 2014	LAW OFFICE PUBLICATION REVIEW COMPLETED	68123
Oct. 28, 2014	ASSIGNED TO LIE	68123
Oct. 08, 2014	APPROVED FOR PUB - PRINCIPAL REGISTER	
Oct. 08, 2014	EXAMINER'S AMENDMENT ENTERED	88888
Oct. 08, 2014	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Oct. 08, 2014	EXAMINERS AMENDMENT E-MAILED	6328
Oct. 08, 2014	EXAMINERS AMENDMENT -WRITTEN	73349
Oct. 03, 2014	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Oct. 02, 2014	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Oct. 02, 2014	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Apr. 04, 2014	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Apr. 04, 2014	NON-FINAL ACTION E-MAILED	6325
Apr. 04, 2014	NON-FINAL ACTION WRITTEN	73349
Apr. 04, 2014	ASSIGNED TO EXAMINER	73349
Jan. 16, 2014	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jan. 07, 2014	NEW APPLICATION ENTERED IN TRAM	

▼ Maintenance Filings or Post Registration Information

Change in Registration: Yes

Amendment to a Registration/Renewal Certificate: Issue New Certificate to: JUUL LABS, INC. (DELAWARE CORPORATION) 560 20th Street, Building 104 SAN FRANCISCO, CALIFORNIA 94107

Correction made to Registration: A claim of priority based on Trinidad and Tobago application no. 47067, filed 7/09/2013, should be inserted.

▼ TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: TMEG LAW OFFICE 109

Date in Location: Aug. 11, 2018



▼ Assignment Abstract Of Title Information - Click to Load

▼ Proceedings - Click to Load

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STATUS	DOCUMENTS	MAINTENANCE												
<p>Generated on: This page was generated by TSDR on 2018-08-21 12:52:59 EDT</p> <p>Mark: JUUL</p> <div style="text-align: right;">  </div> <div> <div> <p>US Serial Number: 86683423</p> <p>US Registration Number: 4898257</p> <p>Filed as TEAS RF: Yes</p> <p>Register: Principal</p> <p>Mark Type: Trademark</p> <p>TM5 Common Status Descriptor: </p> </div> <div> <p>Application Filing Date: Jul. 06, 2015</p> <p>Registration Date: Feb. 09, 2016</p> <p>Currently TEAS RF: Yes</p> </div> </div> <p>LIVE/REGISTRATION/Issued and Active</p> <p>The trademark application has been registered with the Office.</p> <p>Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.</p> <p>Status Date: Feb. 09, 2016</p> <p>Publication Date: Nov. 24, 2015</p>														
<p>▼ Mark Information ▲ Collapse All</p> <p>Mark Literal Elements: JUUL</p> <p>Standard Character Claim: No</p> <p>Mark Drawing Type: 5 - AN ILLUSTRATION DRAWING WITH WORD(S) /LETTER(S)/ NUMBER(S) INSTYLIZED FORM</p> <p>Description of Mark: The mark consists of the term "JUUL" in stylized lettering.</p> <p>Color(s) Claimed: Color is not claimed as a feature of the mark.</p>														
<p>▼ Goods and Services</p> <p>Note:</p> <p>The following symbols indicate that the registrant/owner has amended the goods/services:</p> <ul style="list-style-type: none"> Brackets [...] indicate deleted goods/services; Double parenthesis ((.)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and Asterisks *..* identify additional (new) wording in the goods/services. <p>For: nicotine-based liquid, namely, liquid nicotine used to refill electronic cigarettes; cartridges sold filled with liquid nicotine for electronic cigarettes; electronic cigarette refill liquids, namely, chemical flavorings in liquid form used to refill electronic cigarettes; cartridges sold filled with chemical flavorings in liquid form for electronic cigarettes; electronic cigarettes; electronic smoking vaporizers, namely, electronic cigarettes; tobacco substitutes in liquid solution form other than for medical purposes for electronic cigarettes</p> <p>International Class(es): 034 - Primary Class</p> <p>U.S Class(es): 002, 008, 009, 017</p> <p>Class Status: ACTIVE</p> <p>Basis: 1(a)</p> <p>First Use: Jun. 01, 2015</p> <p>Use in Commerce: Jun. 01, 2015</p>														
<p>▼ Basis Information (Case Level)</p> <table border="1"> <tbody> <tr> <td>Filed Use: Yes</td> <td>Currently Use: Yes</td> <td>Amended Use: No</td> </tr> <tr> <td>Filed ITU: No</td> <td>Currently ITU: No</td> <td>Amended ITU: No</td> </tr> <tr> <td>Filed 44D: No</td> <td>Currently 44D: No</td> <td>Amended 44D: No</td> </tr> <tr> <td>Filed 44E: No</td> <td>Currently 44E: No</td> <td>Amended 44E: No</td> </tr> </tbody> </table>			Filed Use: Yes	Currently Use: Yes	Amended Use: No	Filed ITU: No	Currently ITU: No	Amended ITU: No	Filed 44D: No	Currently 44D: No	Amended 44D: No	Filed 44E: No	Currently 44E: No	Amended 44E: No
Filed Use: Yes	Currently Use: Yes	Amended Use: No												
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Filed 44D: No	Currently 44D: No	Amended 44D: No												
Filed 44E: No	Currently 44E: No	Amended 44E: No												

Filed 66A: No

Currently 66A: No

Filed No Basis: No

Currently No Basis: No

▼ Current Owner(s) Information

Owner Name: JUUL LABS, INC.

Owner Address: 560 20th Street, Building 104
San Francisco, CALIFORNIA UNITED STATES 94107

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

▼ Attorney/Correspondence Information

Attorney of Record

Attorney Name: Nathan E. Ferguson

Docket Number: 35196-TM1028

Attorney Primary Email trademarks@wsgr.com
Address:

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: NATHAN E. FERGUSON
Wilson Sonsini Goodrich & Rosati
650 Page Mill Rd
Palo Alto, CALIFORNIA UNITED STATES 94304-1050

Phone: 650-493-9300

Fax: 650-493-6811

Correspondent e-mail: trademarks@wsgr.comCorrespondent e-mail Yes
Authorized:

Domestic Representative - Not Found

▼ Prosecution History

Date	Description	Proceeding Number
Jul. 25, 2018	TEAS SECTION 7 REQUEST RECEIVED	
Mar. 28, 2018	APPLICANT/CORRESPONDENCE CHANGES (NON-RESPONSIVE) ENTERED	88888
Mar. 28, 2018	TEAS CHANGE OF OWNER ADDRESS RECEIVED	
Aug. 16, 2017	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Feb. 09, 2016	REGISTERED-PRINCIPAL REGISTER	
Nov. 24, 2015	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Nov. 24, 2015	PUBLISHED FOR OPPOSITION	
Nov. 04, 2015	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Oct. 19, 2015	APPROVED FOR PUB - PRINCIPAL REGISTER	
Oct. 15, 2015	ASSIGNED TO EXAMINER	74825
Jul. 09, 2015	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Jul. 09, 2015	NEW APPLICATION ENTERED IN TRAM	

▼ TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date in Location: Feb. 09, 2016

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STATUS

DOCUMENTS

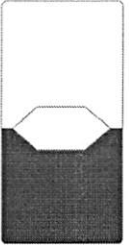
MAINTENANCE

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Mark:



US Serial Number: 87154443

Application Filing Date: Aug. 29, 2016

US Registration Number: 5304697

Registration Date: Oct. 10, 2017

Filed as TEAS RF: Yes

Currently TEAS RF: Yes

Register: Principal

Mark Type: Trademark

TM5 Common Status

Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: Registered. The registration date is used to determine when post-registration maintenance documents are due.

Status Date: Oct. 10, 2017

Publication Date: Jul. 25, 2017

▼ Mark Information

▲ Collapse All

Mark Literal Elements: None

Standard Character Claim: No

Mark Drawing Type: 2 - AN ILLUSTRATION DRAWING WITHOUT ANY WORDS(S)/ LETTER(S)/NUMBER(S)

Description of Mark: The mark is two-dimensional and consists of a vertical rectangle with an elongated hexagon shape inside the rectangle with the hexagon located at approximately the center of the rectangle.

Color(s) Claimed: Color is not claimed as a feature of the mark.

Design Search Code(s): 26.11.02 - Plain single line rectangles; Rectangles (single line)
26.11.21 - Rectangles that are completely or partially shaded
26.15.02 - Polygons (plain, single line); Plain single or multiple line polygons

▼ Goods and Services

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- Double parenthesis (()) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: nicotine-based liquid, namely, liquid nicotine used to refill electronic cigarettes; cartridges sold filled with liquid nicotine for electronic cigarettes; electronic cigarette refill liquids, namely, chemical flavorings in liquid form used to refill electronic cigarettes; cartridges sold filled with chemical flavorings in liquid form for electronic cigarettes; electronic cigarettes; electronic smoking vaporizers, namely, electronic cigarettes; tobacco substitutes in liquid solution form other than for medical purposes for electronic cigarettes

International Class(es): 034 - Primary Class

U.S Class(es): 002, 008, 009, 017

Class Status: ACTIVE

Basis: 1(a)

First Use: Mar. 28, 2016

Use in Commerce: Mar. 28, 2016

▼ Basis Information (Case Level)

Filed Use: Yes	Currently Use: Yes	Amended Use: No
Filed ITU: No	Currently ITU: No	Amended ITU: No
Filed 44D: No	Currently 44D: No	Amended 44D: No
Filed 44E: No	Currently 44E: No	Amended 44E: No
Filed 66A: No	Currently 66A: No	
Filed No Basis: No	Currently No Basis: No	

▼ Current Owner(s) Information

Owner Name: JUUL LABS, INC.

Owner Address: 560 20th Street, Building 104
San Francisco, CALIFORNIA UNITED STATES 94107

Legal Entity Type: CORPORATION

State or Country Where Organized: DELAWARE

▼ Attorney/Correspondence Information

Attorney of Record

Attorney Name: Aaron D. Hendelman

Attorney Primary Email: trademarks@wsgr.com

Address:

Docket Number: 35196-TM1034

Attorney Email Authorized: Yes

Correspondent

Correspondent Name/Address: NATHAN E. FERGUSON
WILSON SONSINI GOODRICH & ROSATI
650 PAGE MILL ROAD
PALO ALTO, CALIFORNIA UNITED STATES 94304-1050

Phone: 650-493-9300

Fax: 650-493-6811

Correspondent e-mail: trademarks@wsgr.com

Correspondent e-mail Authorized: Yes

Domestic Representative - Not Found

▼ Prosecution History

Date	Description	Proceeding Number
Mar. 28, 2018	APPLICANT/CORRESPONDENCE CHANGES (NON-RESPONSIVE) ENTERED	88888
Mar. 28, 2018	TEAS CHANGE OF OWNER ADDRESS RECEIVED	
Oct. 10, 2017	REGISTERED-PRINCIPAL REGISTER	
Aug. 16, 2017	AUTOMATIC UPDATE OF ASSIGNMENT OF OWNERSHIP	
Jul. 25, 2017	OFFICIAL GAZETTE PUBLICATION CONFIRMATION E-MAILED	
Jul. 25, 2017	PUBLISHED FOR OPPOSITION	
Jul. 05, 2017	NOTIFICATION OF NOTICE OF PUBLICATION E-MAILED	
Jun. 14, 2017	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jun. 02, 2017	EXAMINER'S AMENDMENT ENTERED	88888
Jun. 02, 2017	NOTIFICATION OF EXAMINERS AMENDMENT E-MAILED	6328
Jun. 02, 2017	EXAMINERS AMENDMENT E-MAILED	6328
Jun. 02, 2017	EXAMINERS AMENDMENT -WRITTEN	89009
May 13, 2017	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
May 12, 2017	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
May 12, 2017	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Nov. 15, 2016	NOTIFICATION OF NON-FINAL ACTION E-MAILED	6325
Nov. 15, 2016	NON-FINAL ACTION E-MAILED	6325
Nov. 15, 2016	NON-FINAL ACTION WRITTEN	89009
Nov. 08, 2016	ASSIGNED TO EXAMINER	89009
Sep. 03, 2016	NOTICE OF DESIGN SEARCH CODE E-MAILED	
Sep. 02, 2016	NEW APPLICATION OFFICE SUPPLIED DATA ENTERED IN TRAM	
Sep. 01, 2016	NEW APPLICATION ENTERED IN TRAM	

▼ TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: PUBLICATION AND ISSUE SECTION

Date In Location: Oct. 10, 2017

▼ Assignment Abstract Of Title Information - Click to Load**▼ Proceedings - Click to Load**